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Recent Advances and Applications of Semiconductor Laser based Gas Sensor Technology

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OUTLINE

- Motivation: Wide Range of Chemical Sensing
- Fundamentals of Laser Absorption Spectroscopy
- Selected Applications of Trace Gas Detection
 - LAS with a Multipass absorption Cell (NH₃, H₂CO)
 - Quartz Enhanced Laser-PAS (H₂CO)
 - OA-ICOS NO based Sensor Technology
- Outlook and Conclusions

Work supported by NASA, PNNL, NSF, NIH and Welch Foundation


Universität Düsseldorf
July 4, 2006

Motivation: Wide Range of Gas Sensing Applications

- Urban and Industrial Emission Measurements**
 - Industrial Plants
 - Combustion Sources and Processes (eg. early fire detection)
 - Automobile and Aircraft Emissions
- Rural Emission Measurements**
 - Agriculture and Animal Facilities
- Environmental Gas Monitoring**
 - Atmospheric Chemistry (eg ecosystems and airborne)
 - Volcanic Emissions
- Chemical Analysis and Industrial Process Control**
 - Chemical, Pharmaceutical, Food & Semiconductor Industry
 - Toxic Industrial Chemical Detection
- Spacecraft and Planetary Surface Monitoring**
 - Crew Health Maintenance & Advanced Human Life Support Technology
- Biomedical and Clinical Diagnostics** (eg. breath analysis)
- Forensic Science and Security**
- Fundamental Science and Photochemistry**

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Trace Gas Monitoring in a Petrochemical Plant



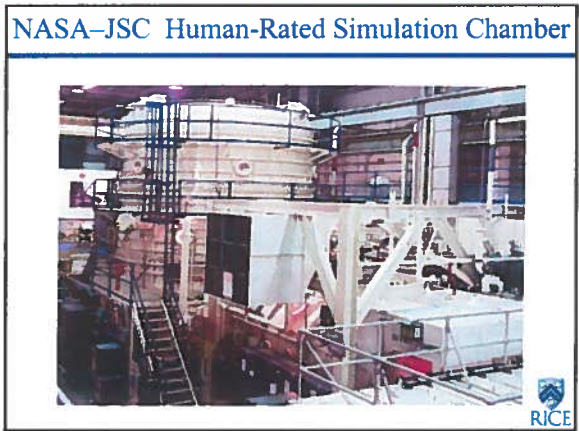
University of Szeged, Hungary

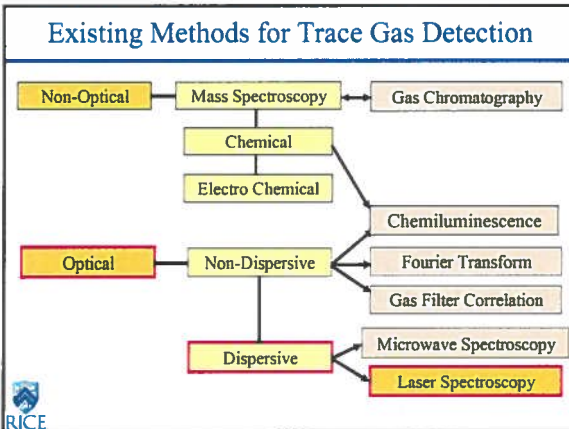
Worldwide Megadirty Mega Cities

| | Population m | | Sulphur dioxide | Particulate matter | Lead | Carbon monoxide | Nitrogen dioxide | Ozone |
|----------------|--------------|-------------|-----------------|--------------------|------|-----------------|------------------|-------|
| | 1990, est. | 2000, proj. | | | | | | |
| Bangkok | 7.16 | 10.26 | 0 | 0 | 0 | 0 | 0 | 0 |
| Beijing | 9.74 | 11.47 | 0 | 0 | 0 | 0 | 0 | 0 |
| Bombay | 11.13 | 15.43 | 0 | 0 | 0 | 0 | 0 | 0 |
| Buenos Aires | 11.58 | 13.05 | 0 | 0 | 0 | 0 | 0 | 0 |
| Cairo | 9.08 | 11.77 | 0 | 0 | 0 | 0 | 0 | 0 |
| Calcutta | 11.83 | 15.94 | 0 | 0 | 0 | 0 | 0 | 0 |
| Delhi | 8.62 | 12.77 | 0 | 0 | 0 | 0 | 0 | 0 |
| Jakarta | 9.42 | 13.23 | 0 | 0 | 0 | 0 | 0 | 0 |
| Karachi | 7.67 | 11.57 | 0 | 0 | 0 | 0 | 0 | 0 |
| London | 10.57 | 10.79 | 0 | 0 | 0 | 0 | 0 | 0 |
| Los Angeles | 10.47 | 10.91 | 0 | 0 | 0 | 0 | 0 | 0 |
| Manila | 8.40 | 11.48 | 0 | 0 | 0 | 0 | 0 | 0 |
| Mexico City | 19.37 | 24.44 | 0 | 0 | 0 | 0 | 0 | 0 |
| Moscow | 9.39 | 10.11 | 0 | 0 | 0 | 0 | 0 | 0 |
| New York | 15.85 | 18.10 | 0 | 0 | 0 | 0 | 0 | 0 |
| Rio de Janeiro | 11.12 | 13.00 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sao Paulo | 16.42 | 23.60 | 0 | 0 | 0 | 0 | 0 | 0 |
| Seoul | 11.33 | 12.97 | 0 | 0 | 0 | 0 | 0 | 0 |
| Shanghai | 13.30 | 14.69 | 0 | 0 | 0 | 0 | 0 | 0 |
| Tokyo | 20.92 | 21.32 | 0 | 0 | 0 | 0 | 0 | 0 |

Source: United Nations. 0: High pollution; 1: Moderate to heavy pollution; 2: Low pollution; No data available

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Fundamentals of Laser Absorption Spectroscopy

Beer-Lambert's Law of Linear Absorption

$$I(\nu) = I_0 e^{-\alpha(\nu) P_s L}$$

$\alpha(\nu)$ - absorption coefficient [$\text{cm}^{-1} \text{atm}^{-1}$]; L - path length [cm]
 ν - frequency [cm^{-1}]; P_s - partial pressure [atm]

$\alpha(\nu) = C \sum_i S_i(T) g_i(\nu - \nu_i)$

Optimum Molecular Absorbing Transition

- Overtone or Combination Bands (NIR)
- Fundamental Absorption Bands (MID-IR)

Long Optical Pathlengths

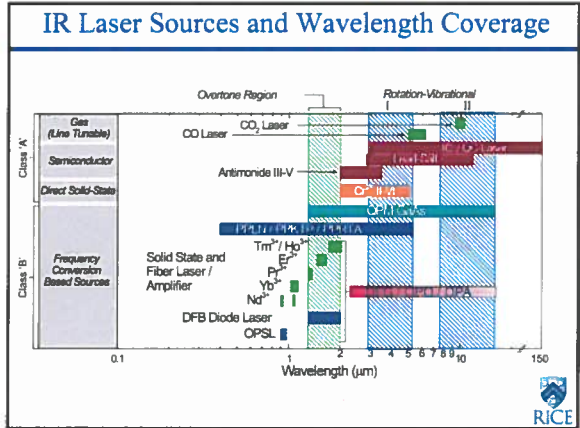
- Multipass Absorption Cell
- Cavity Enhanced, Cavity Ringdown & Intracavity Spectroscopy
- Open Path Monitoring (with retro-reflector)
- Evanescent Field Monitoring (fibers & waveguides)

Spectroscopic Detection Schemes

- Frequency or Wavelength Modulation
- Balanced Detection
- Zero-air Subtraction
- Photoacoustic Spectroscopy

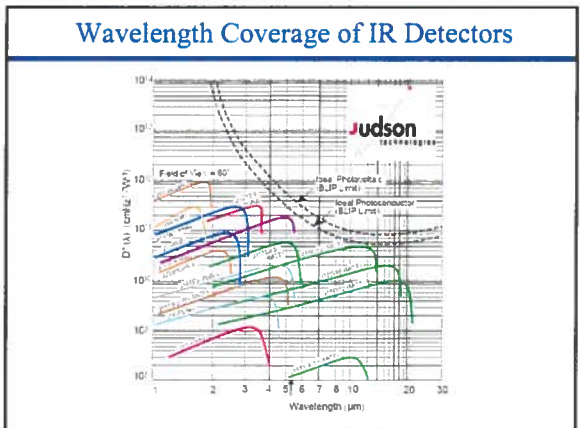
Mid-IR Source Requirements for Laser Spectroscopy

| REQUIREMENTS | IR LASER SOURCE |
|---|--|
| Sensitivity (% to ppt) | Power |
| Selectivity | Single Mode Operation and Narrow Linewidth |
| Multi-gas Components, Multiple Absorption Lines and Broadband Absorbers | Tunable Wavelengths |
| Directionality or Cavity Mode Matching | Beam Quality |
| Rapid Data Acquisition | Fast Time Response |
| Room Temperature Operation | No Consumables |
| Field deployable | Compact & Robust |

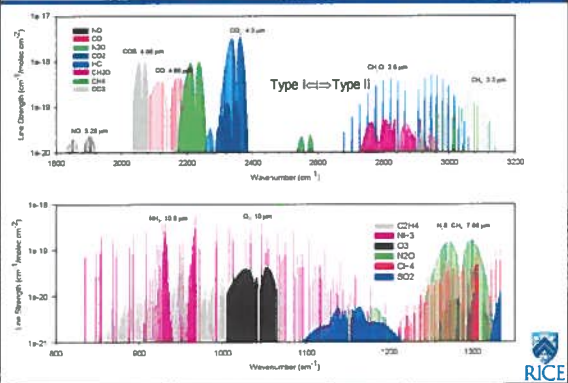


Quantum and Interband Cascade Laser: Basic Facts

- Band-structure engineered devices (emission wavelength is determined by layer thickness - MBE or MOCVD) QCLs operate from 4 to 160 μm (limited by the CB offset on the short wavelength side)
 - Unipolar devices
 - Cascading (each electron creates N laser photons and the number of periods N determines laser power)
- Compact, reliable, stable, long lifetime, commercial availability
- Fabry-Perot (FP) or single mode (DFB)
- Broad spectral tuning range in the mid-IR (4-24 μm for QCLs and 3-5 μm for ICLEs)
 - 1.5 cm^{-1} using current
 - 10-20 cm^{-1} using temperature
 - > 150 cm^{-1} using an external grating element
- Narrow spectral linewidth cw: 0.1 - 3 MHz & $\leq 10\text{kHz}$ with frequency stabilization; pulsed: ~ 300 MHz (chirp from heating)
- High output powers at TEC/RT temperatures
 - Paired peak powers of 1.6 W, high temperature operation ~ 425 K
 - Average power levels: 1-600 mW
 - 50 mW, TEC CW DFB @ 5 and 10 μm (Alpes & Unime); Princeton, AdTech Optics, Maxxon, Argos Tech.
 - 300 mW @ 8.3 μm (Agilent Technologies & Harvard)
 - 600 mW (CW FP) and 150 mW (CW DFB) at 298 K (Northwestern)



HITRAN Simulation of Absorption Spectra (3.1-5.5 & 7.6-12.5 μm)



Representative Trace Gas Detection Limits

| Species | cm ⁻¹ | Precision 1 s RMS (ppt) | LOD 100 s (ppt) |
|-------------------------------|------------------|----------------------------|--------------------|
| NH ₃ | 967 | 50 | 20 |
| NO ₂ | 1800 | 80 | 40 |
| HONO | 1700 | 200 | 80 |
| CO | 2190 | 120 | 50 |
| N ₂ O | 2240 | 100 | 50 |
| HNO ₃ | 1720 | 200 | 80 |
| O ₃ | 1050 | 500 | 200 |
| NO | 1905 | 200 | 100 |
| CH ₄ | 1270 | 400 | 200 |
| SO ₂ | 1370 | 310 | 120 |
| C ₂ H ₆ | 960 | 360 | 140 |
| HCHO | 1765 | 350 | 100 |
| H ₂ O ₂ | 1267 | 1000 | 400 |

Limit of Detection (LOD) for S/N = 2
Pathlength: 210 m
Typical data acquisition time: 1-100 s

Mark S. Zahniser, SRI&E 2004, September 2004

NASA Target Gas Opportunity Matrix

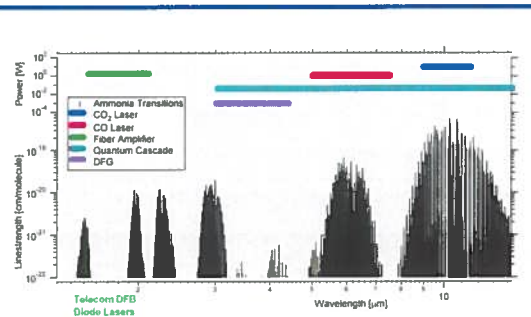
| Molecule | Detection Limit (ppb) | QEPAS detectable? | |
|-----------------------|-----------------------|----------------------|--------|
| | | 1.3-1.7 μm | 2-5 μm |
| Formaldehyde | 10 | No | X |
| Acetaldehyde | 20 | Experiments required | |
| Ammonia | 100 | X | X |
| Carbon monoxide | 1000 | Probably not | X |
| Hydrogen cyanide | 100 | X | X |
| Carbon dioxide | <2% | X | X |
| Nitrogen dioxide | 100 | Probably not | X |
| HF | 100 | Experiments required | |
| Acrolein (2-Propenal) | 5 | Unlikely | |
| Water vapor | 10-90% | X | X |

X - Demonstrated
X - Highly expected based on the existing technology level
X - Expected with the technology advance

Motivation for NH₃ Detection

- Monitoring of gas separation processes
- Spacecraft related gas monitoring
- Monitoring NH₃ concentrations in the exhaust stream of NO_x removal systems based on selective catalytic reduction (SCR) techniques
- Semiconductor process monitoring & control
- Monitoring of industrial refrigeration facilities
- Pollutant gas monitoring
- Atmospheric chemistry
- Medical diagnostics (kidney & liver dysfunctions)

Infrared NH₃ Absorption Spectra



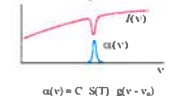
M. Wether et al. 2003, Proceessing

Fundamentals of Laser Absorption Spectroscopy



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 $I(\nu) = I_0 e^{-\alpha(\nu) P_s L}$

$\alpha(\nu)$ - absorption coefficient [cm⁻¹ atm⁻¹]; L - path length [cm]
 ν - frequency [cm⁻¹]; P_s - partial pressure [atm]



$\alpha(\nu) = C \cdot S(T) \cdot g(\nu - \nu_0)$
 C - total number of molecules of absorbing gas/atm/cm³ [molecule cm⁻³ atm⁻¹]
 S - molecular line intensity [cm²/molecule]
 g(ν - ν₀) - normalized spectral lineshape function [cm], (Gaussian, Lorentzian, Voigt)

Optimum Molecular Absorbing Transition

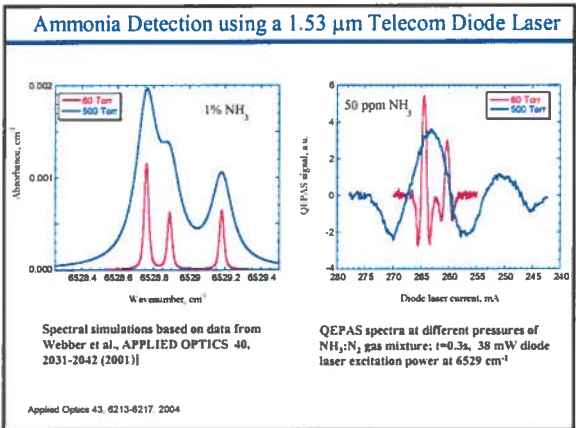
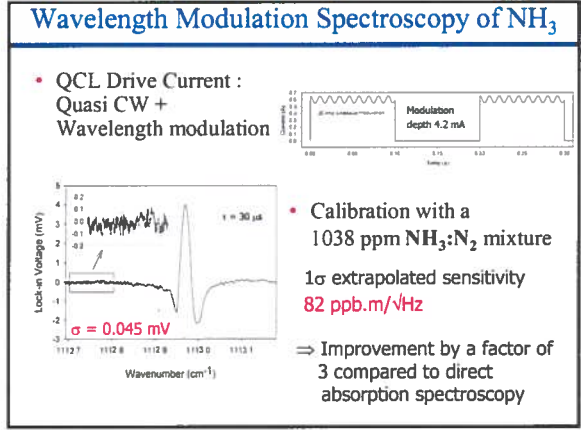
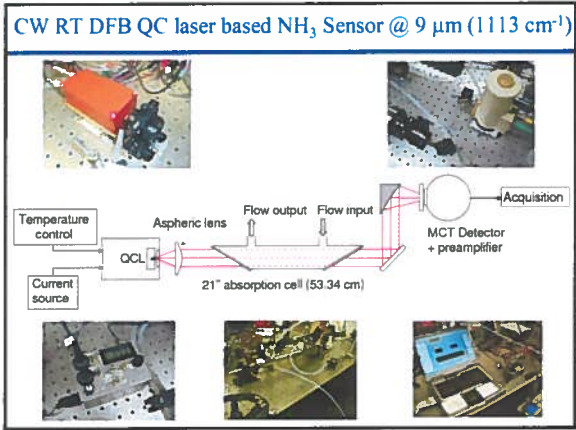
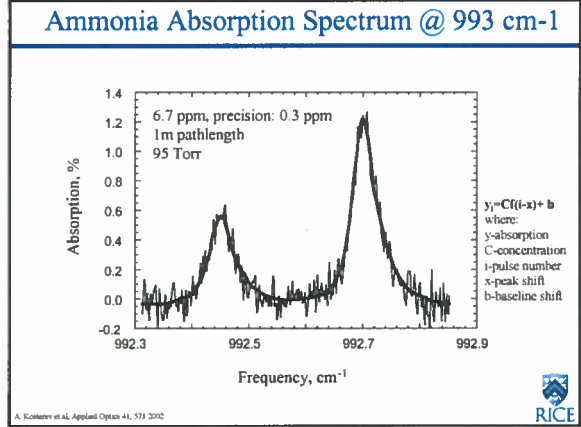
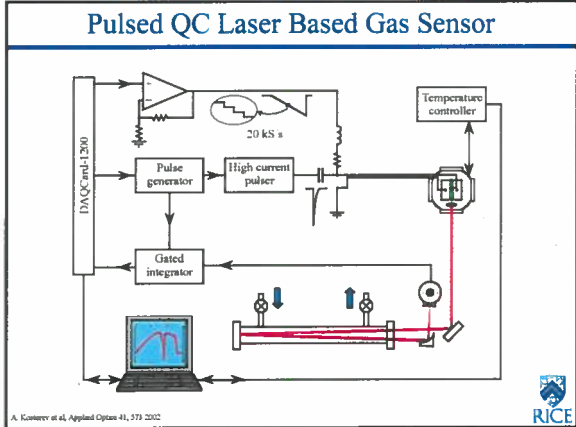
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Long Optical Pathlengths

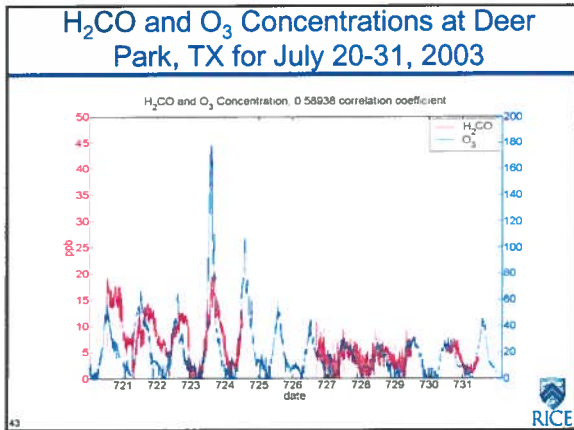
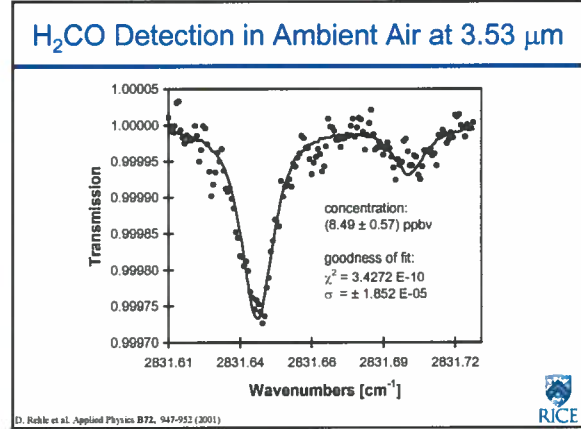
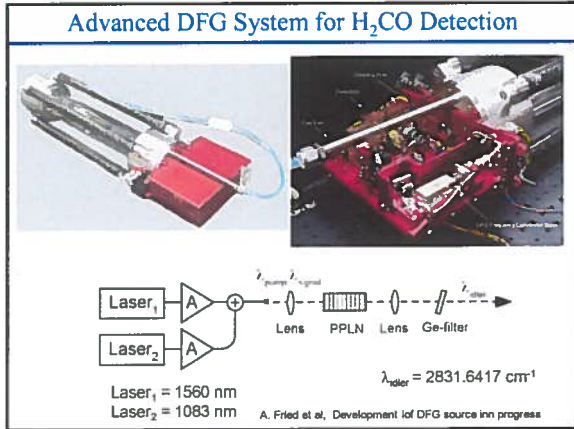
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Spectroscopic Detection Schemes

- Frequency or Wavelength Modulation
- Balanced Detection
- Zero-air Subtraction
- Photoacoustic Spectroscopy



- ### Motivation for Precision Monitoring of H₂CO
- Pollutant due to incomplete fuel combustion processes
 - Potential trace contaminant in industrial manufactured products
 - Precursor to atmospheric O₃ production
 - Medically important gas



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From conventional PAS to QEPAS

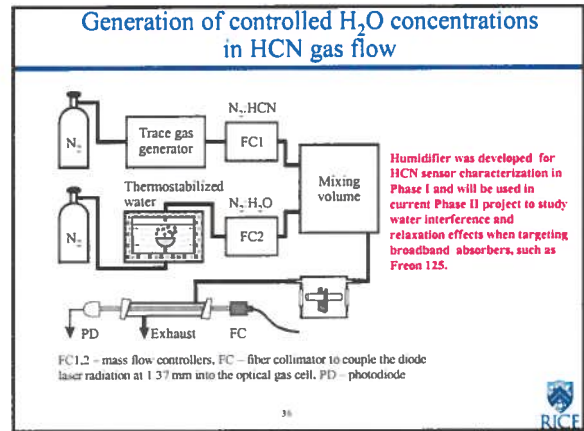
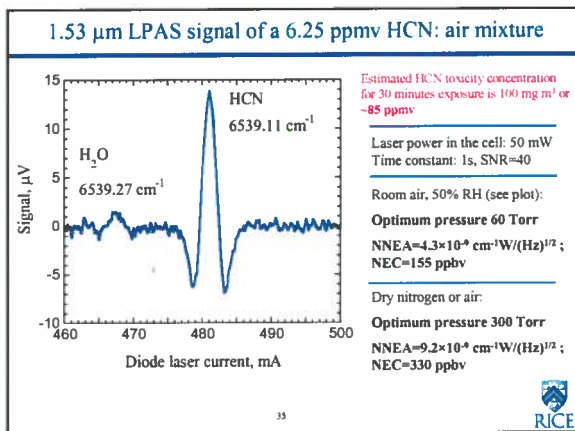
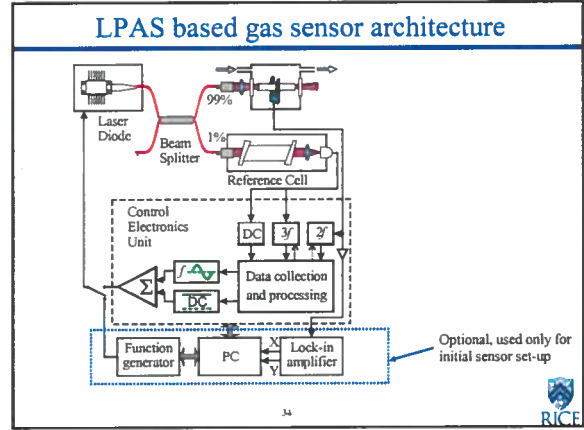
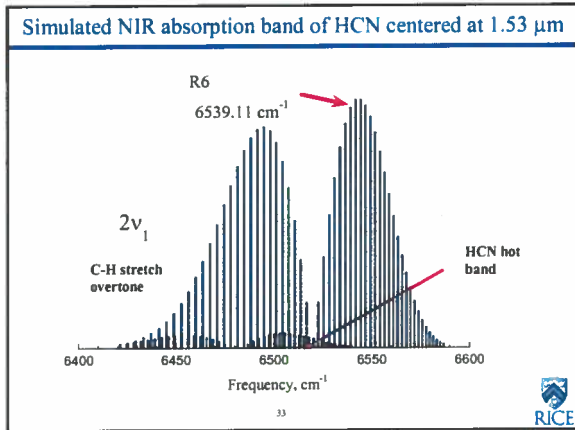
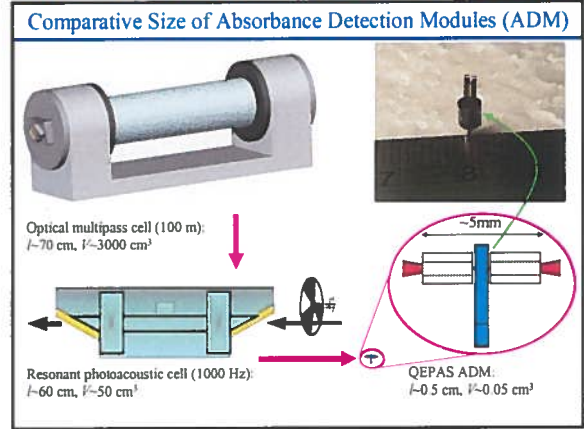
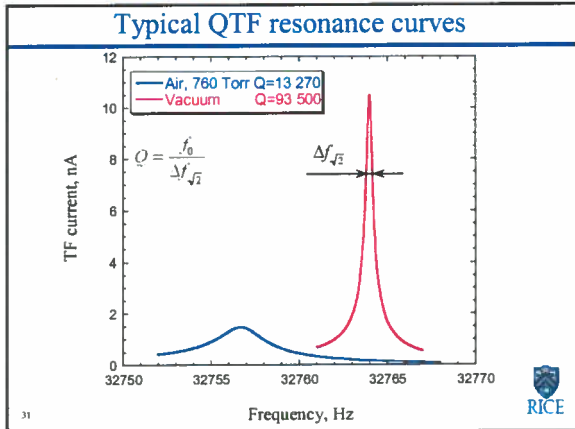
Laser beam, power P
 Modulated (P or λ) at f or $f/2$
 $S \sim \frac{Q \alpha P}{f V}$
 $NNEA = \frac{\alpha_{min} P}{\sqrt{\Delta f}} \left[\frac{\text{cm}^{-1} \times \text{W}}{\sqrt{\text{Hz}}} \right]$

Resonant at f quality factor Q
 Cell is OPTIONAL!
 Effective volume

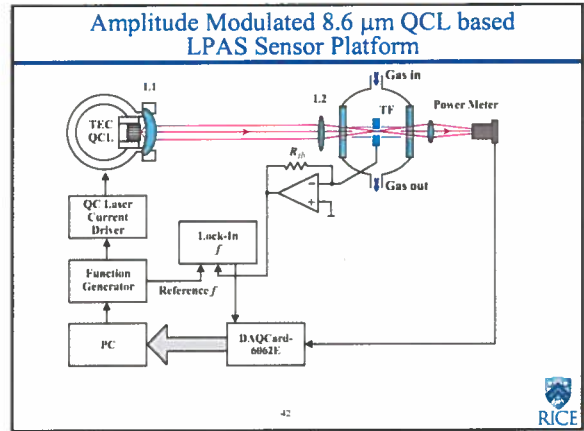
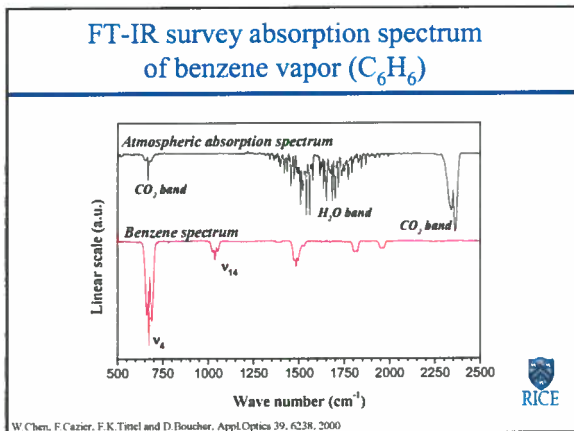
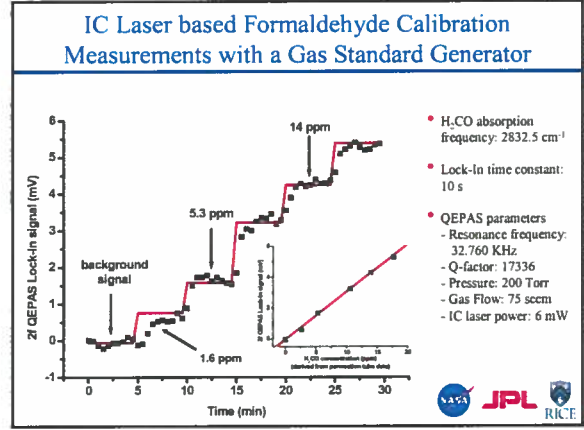
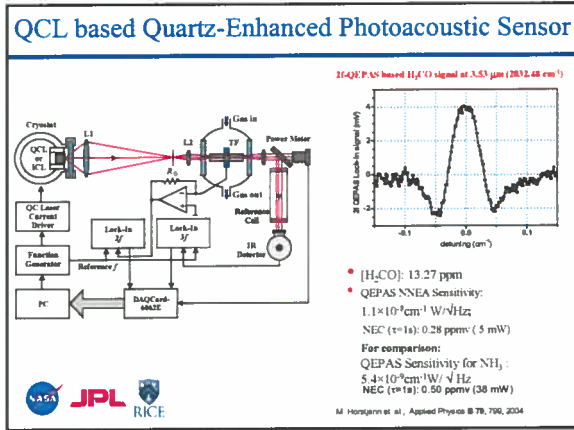
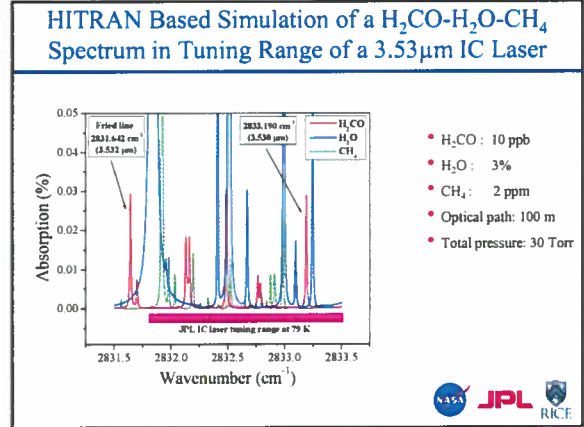
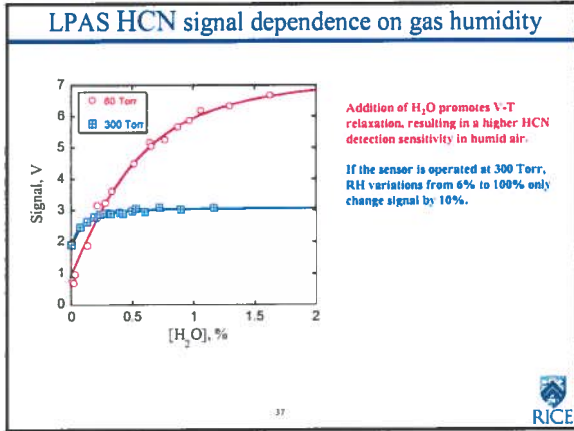
Equivalent Electrical Circuit of a Quartz TF

$\omega_0 = \sqrt{\frac{1}{LC}}$
 $Q = \frac{1}{R} \sqrt{\frac{L}{C}}$
 $\sqrt{\langle I_N^2 \rangle} = \sqrt{\frac{4k_B T}{R}}$

"QUARTZ CRYSTAL RESONATORS AND OSCILLATORS For Frequency Control and Timing Applications", tutorial by John R. Vig, U.S. Army Communication-Electronics Command (July 2001)



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Design of a new QTF based absorption detection module

Compact & integrated design
Laser-induced background reduction
Machining precision of $\pm 10\mu\text{m}$

Two QTFs connected in parallel results in enhanced $\times 2$ SNR
Minimum exposure of QTFs to QCL radiation
Efficient for gas flow to microresonator

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Merits of QE Laser-PAS based Trace Gas Detection

- High sensitivity (ppm to ppb gas concentration levels) and excellent dynamic range
 - Immunity to ambient and flow acoustic noise, laser noise and etalon effects, which allows applications that involve harsh operating environments
 - Required sample volume is very small. The volume is ultimately limited by the gap size between the TF prongs, which is $< 1\text{ mm}^3$ for the presently used QTF.
 - No spectrally selective elements are required
 - Applicable over a wide range of pressures, including atmospheric pressure
 - Sensitive to phase shift introduced by vibrational to translational (V-T) relaxation processes and hence the potential of concentration measurements of spectrally interfering species
 - Ultra-compact, rugged and low cost compared to LAS that requires a multipass absorption cell and infrared detector(s)
 - Potential for optically multiplexed concentration measurements
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QEPAS Performance for 8 Trace Gas Species (June'06)

| Molecule (Host) | Frequency, cm^{-1} | Pressure, Torr | NNEA, $\text{cm}^{-1}\text{W}/\text{Hz}$ | Power, mW | NEC ($\tau=1\text{s}$), ppbv |
|--|-----------------------------|----------------|--|-----------|--------------------------------|
| H_2O (N_2) ^{**} | 7306.75 | 60 | 1.9×10^{-7} | 9.5 | 0.09 |
| HCN (air: 50% hum) [*] | 6539.11 | 60 | $< 4.3 \times 10^{-7}$ | 50 | 0.16 |
| C_2H_2 (N_2) ^{**} | 6529.17 | 75 | -2.5×10^{-7} | -40 | 0.06 |
| NH_3 (N_2) [*] | 6528.76 | 60 | 5.4×10^{-7} | 38 | 0.50 |
| CO_2 (exhaled air) | 6514.25 | 90 | 1.0×10^{-7} | 5.2 | 890 |
| CO_2 (N_2) ^{***} | 4990.00 | 300 | 1.5×10^{-7} | 4.6 | 130 |
| CH_3O (N_2) [*] , H_2O | 2832.48 | 100 | 1.1×10^{-7} | 4.6 | 0.28 |
| CO (N_2) | 2196.66 | 50 | 5.3×10^{-7} | 13 | 0.5 |
| CO (propylene) | 2196.66 | 50 | 7.4×10^{-7} | 6.5 | 0.14 |
| N_2O (air+5%SF ₆) | 2195.63 | 50 | 1.5×10^{-7} | 19 | 0.007 |

^{*} - Improved microresonator
^{**} - Improved microresonator and double optical pass through QTF
^{***} - Without microresonator
 NNEA - normalized noise equivalent absorption coefficient.
 NEC - noise equivalent concentration for available laser power and $\tau=1\text{s}$ time constant.

For comparison: conventional PAS $2.2 \times 10^{-6}\text{ cm}^{-1}\text{W}/\text{Hz}$ (1,800 Hz) for NH_3 ^{*}

* M. E. Weber, M. Pustarsky and C. K. N Patel, Appl. Opt. 42, 2119-2126 (2003)

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- Fundamental Absorption Bands (MID-IR)

Long Optical Pathlengths

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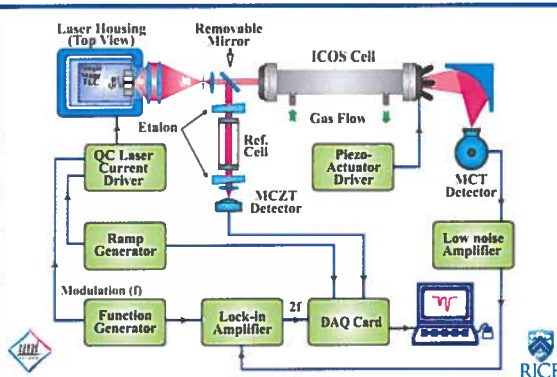
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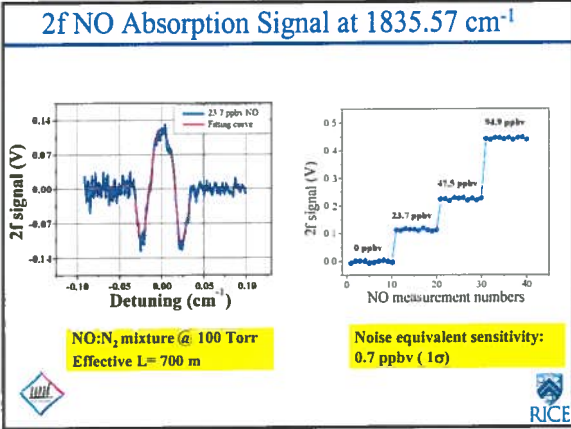
NO as a Biomarker

- NO is biochemically involved in most tissues and physiological processes in the human body
- NO excretion increases in exhaled breath in lung diseases such as :
 - ✓ Asthma¹
 - ✓ Chronic Obstructive Pulmonary Disease²
 - ✓ Acute lung rejection³
 - ✓ Acute respiratory distress syndrome⁴
 - ✓ Pneumonia (useful for intubated patients)⁵

¹ Ahving K, E Watzberg, DJ Lundberg: Increased amount of NO in exhaled air of asthmatics. Eur Respir J 1993, 6: 1368-1370
² Wason J, S Lankford, S Calvert, P Saldiva, S Charatonev, P Barone. Exhaled NO in COPD. Am J Respir Crit Care Med 1998, 157: pp 998-1002
³ Schaff PE et al. Exhaled NO in human lung transplantation. A sensitive marker of acute rejection. Am J Respir Crit Care Med 1998, 157: 1822-1823
⁴ Doni SL, Evans TW. Measurement of endogenous NO in the lungs of patients with the ARDS. Am J Respir Crit Care Med 1998, 157(11): 993-7
⁵ Adew C et al. Exhaled and nasal NO as a marker of pneumonia in ventilated patients. Am J Respir Crit Care Med 2001, 163(5): 1143-9

TEC - CW-DFB QCL based Nitric Oxide OA-ICOS Sensor





Chronic Obstructive Pulmonary Disease

- Chronic obstructive pulmonary disease (COPD)
 - Accumulation of inflammatory products in the small airway lumen and wall
- Alveolar NO
 - Reflects peripheral lung inflammation and the response to anti-inflammatory treatment
 - Not affected by smoking or inhaled corticosteroids

Source: <http://www.ama-assn.org/speccenters/64/200/17/2382>

Curcumin Pilot Study

- Curcumin (Turmeric)
 - Polyphenol (diferuloylmethane)
 - Anti-inflammatory and anti-oxidant
- Hypothesis:** Curcumin reduces indices of inflammation in individuals with severe COPD

Collaborator: Amir Sharafkhaneh, MD

ICOS vs. CRDS

| ICOS | CRDS |
|--|--|
| <ul style="list-style-type: none"> High sensitivity High time resolution not required, slow detector is sufficient Multiple high-order transverse modes, off-axis propagation Relies on quasi-random mode structure, non-critical alignment Low throughput [(1-R)/2 max] No need for narrow line laser Sensitive to the source power fluctuations | <ul style="list-style-type: none"> Extremely high sensitivity possible - 10⁻¹¹ cm⁻¹ demonstrated in NIR Time resolved measurements, fast detector needed Single transverse mode, on-axis propagation - critical alignment Laser must be locked to the cavity mode High throughput in resonance for a narrow line (~kHz) laser Insensitive to the source power fluctuations |

External Cavity QCL Based Spectrometer

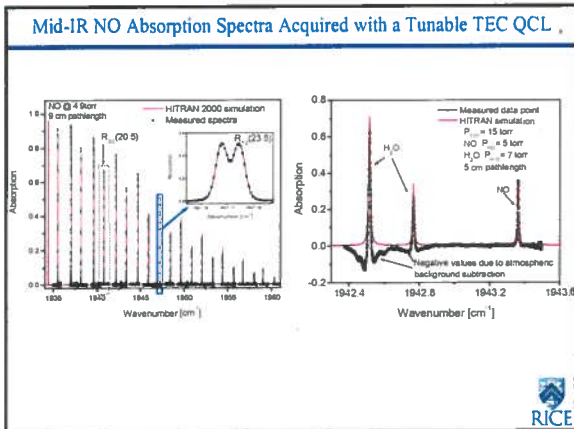
- PZT controlled EC-length
- PZT controlled grating angle
- Optimization of cavity alignment performed by means of lens positioning using electrically controlled 3D translation stage

G Wysocki et al. Applied Physics B, 81, p 769 (2005)

Tunable external grating cavity QCL based spectrometer II

Compact ECG QCL design, June 2006

Housing for high power cw QCL and lens positioning assembly



Important facts of novel EC-QCL Technology

- Laser spectroscopy provides superior resolution compared to other techniques e.g. FTIR
- Single mode operation of the laser is required
- Wavelength tunability of single mode (DFB) mid-IR semiconductor lasers is ~10cm⁻¹
- Demonstrated wavelength tunability of the Rice EC QCL is ~35 cm⁻¹ (limited by the gain chip properties and not by the designed EC configuration)
- Gain chips, which can provide tunability of >200 cm⁻¹ are already reported in the literature

FTIR resolution 0.05 cm⁻¹

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Sensor control and data processing

- Computer control of a laser-based spectroscopic sensor using PC (Windows, LabView) is convenient but not reliable and often does not allow to achieve the optimum sensor performance
- Reliable systems such as NI Real-Time devices are expensive, in part because of their multifunction abilities
- Dedicated electronic modules for autonomous sensor control and data processing are reliable, small, and consist of inexpensive part
- Today's technology such as DSP and FPGA offers convenience and flexibility of design

RICE

Dedicated DSP-based electronics for trace gas sensing using a pulsed QC laser

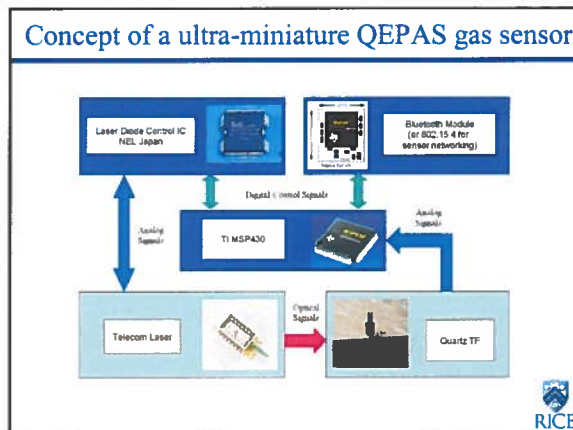
Pulsed laser requires high speed pulsed processing system for minimum detection limits

RICE

Conclusions and Future Directions



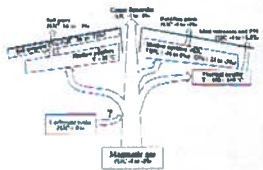
- Laser based Trace Gas Sensors**
 - Ultra compact (~0.2 mm³), robust & low cost sensors based on QE L-PAS
 - QEL-PAS is immune to ambient noise. The measured noise level coincides with the thermal noise of the QTF
 - Best to date demonstrated QEPAS sensitivity is 2.1 x 10⁻⁹ cm⁻¹W⁻¹Hz for H₂O/N₂
 - QEPAS exhibits a low 1/f noise level, allowing data averaging for more than 3 hours
 - Detected 14 trace gases to date: NH₃, CH₄, N₂O, CO₂, CO, NO, H₂O, COS, HCN, C₂H₂, C₂H₄, C₂H₅OH, SO₂, H₂CO and several isotopic species of C, O, N & H
- Applications in Trace Gas Detection**
 - Environmental & Spacecraft Monitoring (NH₃, CO, CH₄, C₂H₂, N₂O, CO₂ and H₂CO)
 - Medical Diagnostics (NO, CO, COS, CO₂, NH₃, C₂H₂)
 - Industrial process control and chemical analysis (NO, NH₃, H₂O)
- Future Directions and Collaborations**
 - QE L-PAS based applications using novel thermoelectrically cooled cw and broadly wavelength tunable quantum and interband cascade lasers
 - Investigate QTFs with lower resonant frequencies
 - Investigate amplitude modulation QEPAS potential and limitations
 - New target gases, in particular VOCs and HCs
 - Development of optically multiplexed gas sensor networks based on QE L-PAS


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Volcanological applications

- CO₂, the most abundant component of volcanic gases after H₂O
- $\delta^{13}\text{C}$ is a sensitive tracer of magmatic vs hydrothermal or groundwater contributions to volcanic gases
- Monitoring $\delta^{13}\text{C}$ can be used in eruption forecasting and volcanic hazard assessment






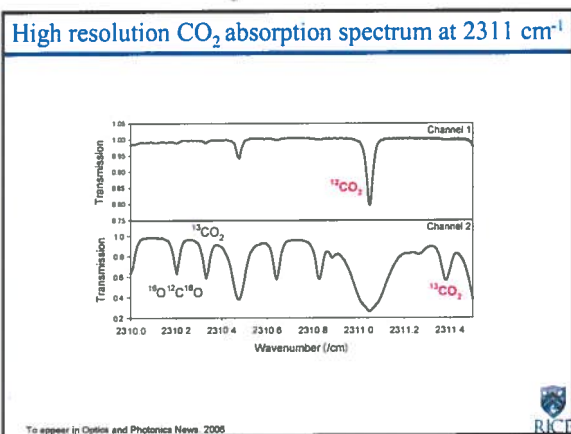


CO₂ Absorption Line Selection Criteria

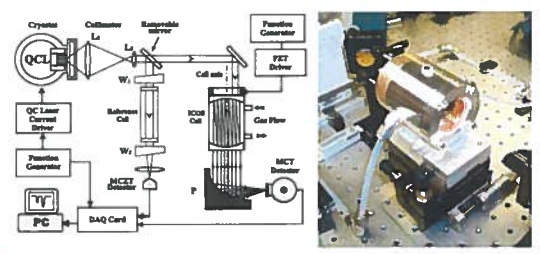
- Three strategies:
 - Similar strong absorption of ¹²CO₂ and ¹³CO₂ lines
 - Very sensitive to temperature variations
 - Similar transition lower energies
 - Requires a dual path length approach to compensate for the large difference in concentration between major and minor isotopic species-or-
 - Can be realized if different vibrational transitions are selected for the two isotopes (4.35 μm for ¹²CO₂ and 2.76 μm for ¹³CO₂)*
- For the first 2 strategies both absorption lines must lie in a laser frequency scan window
- Avoid presence of other interfering atmospheric trace gas species

* Proposed scheme by Curi, Uehara, Kosterev and Tittel, Oct. 2002








Off-Axis Integrated Cavity Output Spectroscopy (ICOS) Based Gas Sensor



- Novel compact gas cell design of length: 3.8 - 5.3 cm and cell volumes < 80 cm³.
- Low loss mirrors (ROC 1m) ~60-250 ppm, R~99.975, L_{eff} ~170-800 m
- Rapid eN₂O concentration measurements during a single breath cycle are feasible

Wolke pg 44 CLEO 2006 (May 22 2006)