

Mid-infrared semiconductor laser based trace gas sensor technologies: recent advances and applications

<u>F.K.Tittel</u>, W. Ren, W. Jiang, Y. Cao and D. Jiang Department of Electrical and Computer Engineering, Rice University, Houston, TX 77005, USA

http://www.ece.rice.edu/~lasersci/



OUTLINE

- New Laser Based Trace Gas Sensor Technology
 - Novel Multipass Gas Absorption Cells & Electronics
 - Quartz Enhanced Photoacoustic Spectroscopy
- Examples of nine mid-infrared Trace Gas Species
 - C₂H₆, NH₃, NO, CO, SO₂, CH₄, N₂O, H₂O₂ & C₃H₆O
- Future Directions of Laser Based Gas Sensor Technology and Conclusions

Research support by NSF ERC MIRTHE, NSF-ANR NexCILAS, the Robert Welch Foundation, and Sentinel Photonics Inc. via an EPA Phase 1 SBIR sub-award is acknowledged

Wide Range of Trace Gas Sensing Applications

- Urban and Industrial Emission Measurements
 - Industrial Plants
 - Combustion Sources and Processes (e.g. fire detection)
 - Automobile, Truck, Aircraft and Marine Emissions
- Rural Emission Measurements
 - Agriculture & Forestry, Livestock
- Environmental Monitoring
 - Atmospheric Chemistry (e.g isotopologues, climate modeling,...)
 - Volcanic Emissions
- Chemical Analysis and Industrial Process Control
 - Petrochemical, Semiconductor, Pharmaceutical, Metals Processing, Food & Beverage Industries, Nuclear Technology & Safeguards
- Spacecraft and Planetary Surface Monitoring
 - Crew Health Maintenance & Life Support
- Applications in Medical Diagnostics and the Life Sciences
- Technologies for Law Enforcement, Defense and Security
- Fundamental Science and Photochemistry



"Curiosity" Landed on Mars on August 6, 2012



Laser based Trace Gas Sensing Techniques

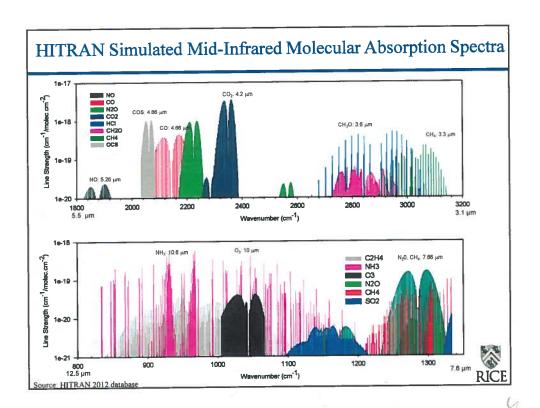
- Optimum Molecular Absorbing Transition
 - Overtone or Combination Bands (NIR)
 - Fundamental Absorption Bands (Mid-IR)
- Long Optical Pathlength
 - Multipass Absorption Cell (White, Herriot, Chernin, Sentinel Photonics)
 - Cavity Enhanced and Cavity Ringdown Spectroscopy
 - Open Path Monitoring (with retro-reflector): Standoff and Remote Detection
 - Fiberoptic Evanescent Wave Spectroscopy
- Spectroscopic Detection Schemes
 - Frequency or Wavelength Modulation
 - Balanced Detection
 - Zero-air Subtraction
 - Photoacoustic & Quartz Enhanced Photoacoustic Spectroscopy (QEPAS)



Other Spectroscopic Methods

- Faraday Rotation Spectroscopy (limited to paramagnetic chemical species)
- Differential Optical Dispersion Spectroscopy (DODiS)
- Noise Immune Cavity Enhanced-Optical Heterodyne Molecular Spectroscopy (NICE-OHMS)
- Frequency Comb Spectroscopy
- Laser Induced Breakdown Spectroscopy (LIBS)





Mid-IR Source Requirements for Laser Spectroscopy

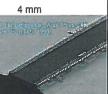
REQUIREMENTS	IR LASER SOURCE			
Sensitivity (% to pptv)	Optimum Wavelength, Power			
Selectivity (Spectral Resolution)	Stable Single Mode Operation and Narrow Linewidth			
Multi-gas Components, Multiple Absorption Lines and Broadband Absorbers	Mode Hop-free Wavelength Tunability			
Directionality or Cavity Mode Matching	Beam Quality			
Rapid Data Acquisition	Fast Time Response			
Room Temperature Operation	High wall plug efficiency, no cryogenics or cooling water			
Field deployable in harsh environments	Compact & Robust			

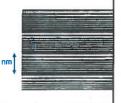
Key Characteristics of Mid-IR QCL & ICL Sources – Aug 2014

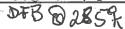
Band – structure engineered devices
 Emission wavelength is determined by layer thickness – MBE or MOCVD; Type I QCLs operate in the 3 to 24 μm spectral region; Type II and GaSb based ICLs can cover the 3 to 6 μm spectral range.

- Compact, reliable, stable, long lifetime, and commercial availability
 Fabry-Perot (FP), single mode (DFB) and multi-wavelength devices
- Wide spectral tuning ranges in the mid-IR
 - 1.5 cm⁻¹ using injection current control for DFB devices
 - 10-20 cm⁻¹ using temperature control for DFB devices
 - ~100 cm-1 using current and temperature control for QCL DFB Array
 ~525 cm-1 (22% of c.w.) using an external grating element and FP chips with heterogeneous cascade active region design; also QCL DFB Array
- Narrow spectral linewidths
 - $^{\bullet}$ CW: 0.1 3 MHz & <10kHz with frequency stabilization (0.0004 cm $^{-1}$) Pulsed: ~300 MHz
- High pulsed and CW powers of QCLs at TEC/RT temperatures
 - Room temperature pulsed power of > 30 W with 44% wall plug efficiency and CW powers of ~ 5 W with 23% wall plug efficiency at 293 °K
 - > 1W, TEC CW DFB @ 4.6 μm
 - > 600 mW (CW RT; wall plug efficiency of ~ 600 mW (CW RT; wall plug efficiency of ~ 600 mW (CW RT; wall plug efficiency of ~ 600 mW (CW RT; wall plug efficiency of ~ 600 mW (CW RT; wall plug efficiency of ~ 600 mW (CW RT; wall plug efficiency of ~ 600 mW (CW RT; wall plug efficiency of ~ 600 mW (CW RT; wall plug efficiency of ~ 600 mW)





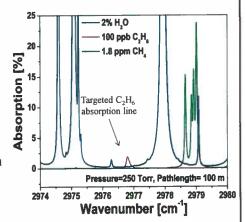






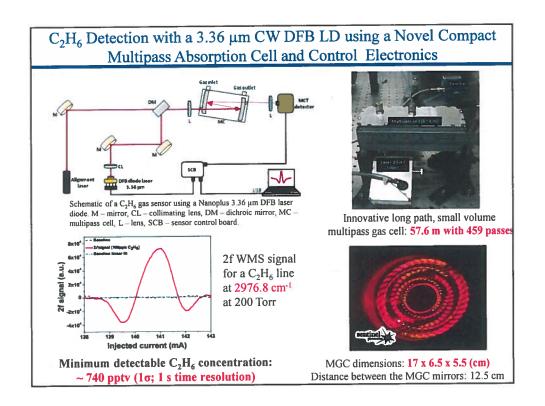
Motivation for Mid-infrared C₂H₆ Detection

- Application in medical breath analysis
 - Asthma
 - Schizophrenia
 - Lung cancer
 - Vitamin E deficiency
- Atmospheric chemistry and climate
 - Fossil fuel and biofuel consumption
 - Biomass burning
 - Vegetation/soil
 - Natural gas loss



HITRAN absorption spectra of C₂H₆, CH₄, and H₂O

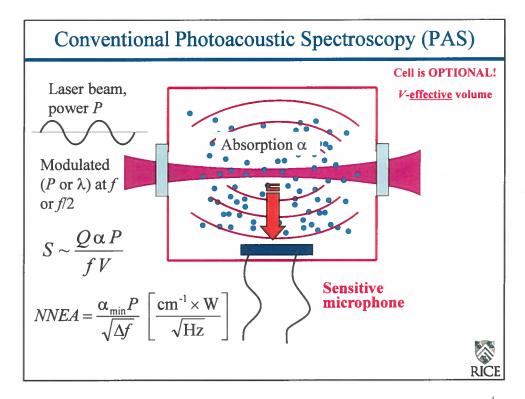




Motivation for NH₃ Detection

- Medical diagnostics
 - Kidney disease
 - •Liver failure and Cirrhosis
 - Brain Cells dysfunction
 - Drowsiness and Coma
- Atmospheric chemistry
- Pollutant gases monitoring
- Monitoring NH₃ concentrations in the exhaust stream of NO_x removal systems based on selective catalytic reduction (SCR) techniques associated with electric power plants
- Spacecraft related trace gas monitoring

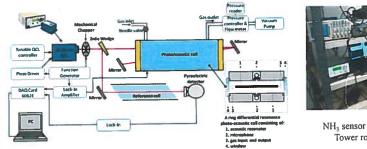






huespected Rounds Desection of

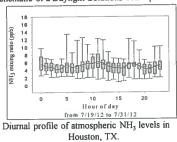
Atmospheric NH₃ Measurements using an EC-QCL PAS Sensor

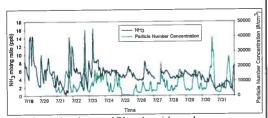




NH₃ sensor deployed at the UH Moody Tower rooftop monitoring site.

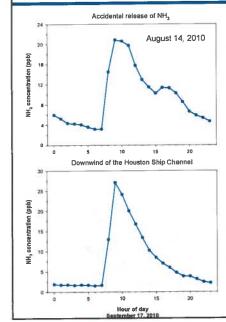
Schematic of a Daylight Solutions 10.36 µm CW TEC EC-QCL based PAS NH₃ Sensor.





Comparison between NH₃ and particle number concentration time series from July 19 to July 31 2012.

NH₃ Detection due to a Fire resulting from a Truck Collision





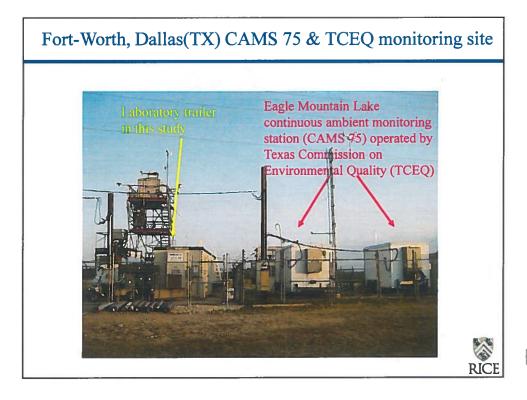
A chemical incident occurred at ~ 6 a.m. after two trucks collided on I-59. Both trucks caught fire. [www.chron.com]



Estimated hourly NH₃ emission from the Houston Ship Channel area is about 0.25 ton. Mellqvist et al., (2007) Final Report, HARC Project H-53.



Sporadic increase in NH₃ concentration levels related to emissions by the Parish Electric Power Plant, TX The Parish electric power plant is located near the Brazos River in Fort Bend County, Texas (~27 miles SW from downtown Houston)



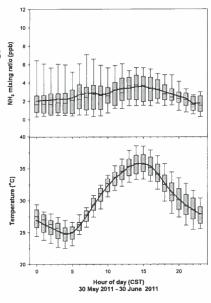
Instrumentation available at CAMS 75 & TCEQ monitoring site

Species/parameter	Measurement technique
NH ₃	Daylight Solutions External Cavity Quantum Cascade Laser (Photo-acoustic Spectroscopy)
СО	Thermo Electron Corp. 48C Trace Level CO Analyzer (Gas Filter Correlation)
SO ₂	Thermo Electron Corp. 43C Trace Level SO ₂ Analyzer (Pulsed Fluorescence)
NO _x	Thermo Electron Corp. 42C Trace Level NO-NO ₂ -NO _X Analyzer (Chemiluminescence)
NO _y	Thermo Electron Corp. 42C-Y NO _Y Analyzer (Molybdenum Converter)
HNO ₃	Mist Chamber coupled to Ion Chromatography (Dionex, Model CD20-1)
HCl	Mist Chamber coupled to Ion Chromatography (Dionex, Model CD20-1)
VOC,	IONICON Analytik Proton Transfer Reaction Mass Spectrometer and TCEQ Automated Gas Chromatograph
PBL height	Vaisala Ceilometer CL31 with updated firmware to work with Vaisala Boundary Layer View software
Temperature	Campbell Scientific HMP45C Platinum Resistance Thermometer
Wind speed	Campbell Scientific 05103 R. M. Young Wind Monitor
Wind direction	Campbell Scientific 05103 R. M. Young Wind Monitor

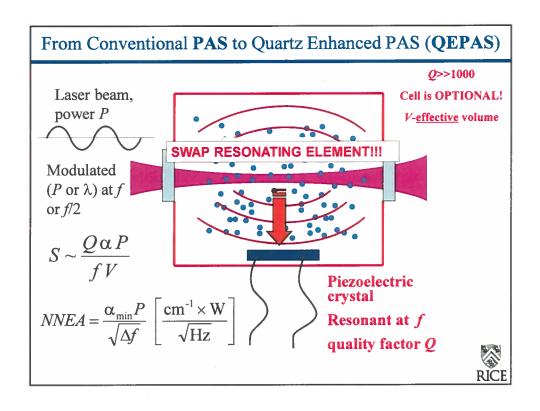
NH₃ Source Attribution & Temperature Variations

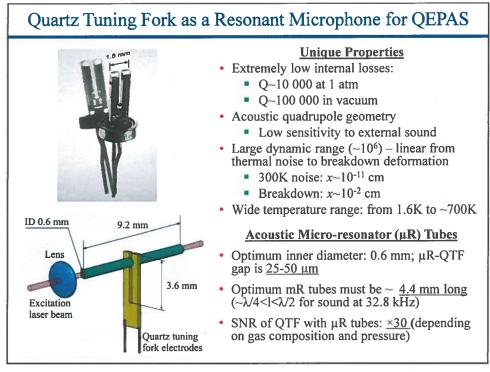
- Emission events from specified point sources (i.e., industrial facilities)
- Estimated NH₃ emissions from cows (1.3 forms/day)

 Estimated NH₃ emissions from soil and Estimated NH₃ emissions from so
- Estimated NH₃ emissions from soil and vegetation (0.15 tons/day)
- EPA PMF (biogenic:74.1%; light duty vehicles:12.1%; natural gas/industry: 9.4%; heavy duty vehicles:4.4%)
- Livestock might account for approximately 66.4% of total NH₃ emissions
- Increased contribution from industry (**→**18.9%)

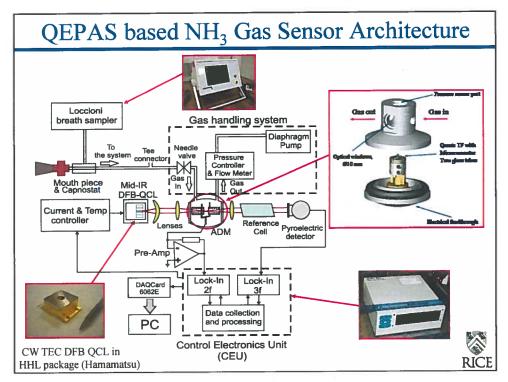








Optimum NH₃ Line Selection for a 10.34 µm CW TEC DFB QCL 2.5 ppm NH₃ in N₂ 130 Torr OEPAS Signal [a.u.] Absorption x 10⁻³ [%] 5% CO₂, 1% H₂O and residual NH₃ 967.71 cm⁻¹ CO₂ line 965.35 cm 967.35 cm NH₃ line 1240 1220 1200 1180 1160 1140 1120 Wavenumber [cm⁻¹] Current [mA] No overlap between NH₃ and CO₂ absorption Simulated HITRAN high resolution spectra @ 130 Torr indicating two NH₃ absorption lines was observed for the selected 967.35 cm-1 NH_3 absorption line in the v_2 R-band. lines of interest RICE

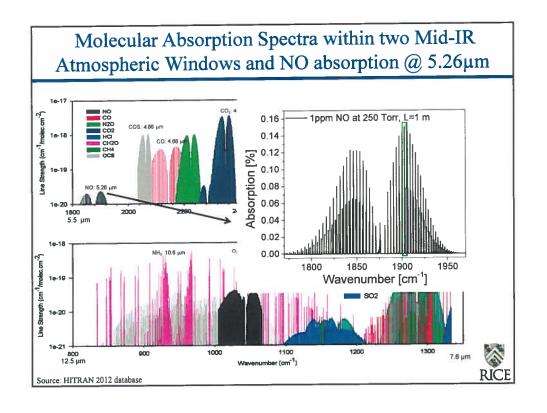


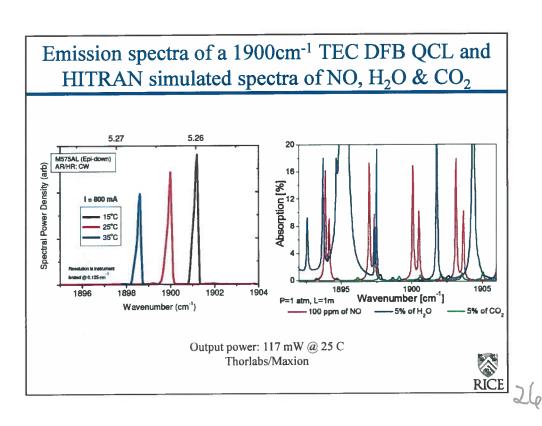
Real-time exhaled human NH₃ Breath Measurements --- Breath data from NH, Rice sensor 350 -CO2 Max NH, concentration is: 351 ppb CO₂ concentration [%] Airway Pressure [mbar] -•- NH, 300 concentration [ppb] 250 concnetration 200 100 150 100 Ę 50 1000 2000 14.24:00 14.24:20 14.24:40 14.25:00 14:25:20 Point [-] Time [HH:MM:SS] Airway pressure (black), CO2 (red), and NH3 (blue) profiles of a single breath exhalation lasting 40sec. Successful testing of a 2nd generation breath ammonia monitor installed in a clinical environment.(Johns Hopkins, Baltimore, MD and St. Luke's Hospital, Bethlehem, PA) Minimum detectable concentration of NH3 is: - 6 ppbv at 967.35 cm⁻¹ (1σ; 1 s time resolution)

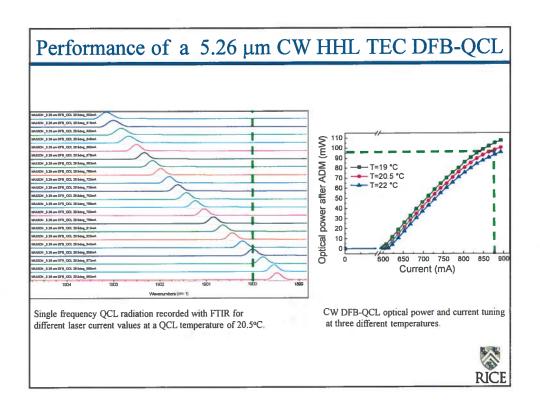
Motivation for Nitric Oxide Detection

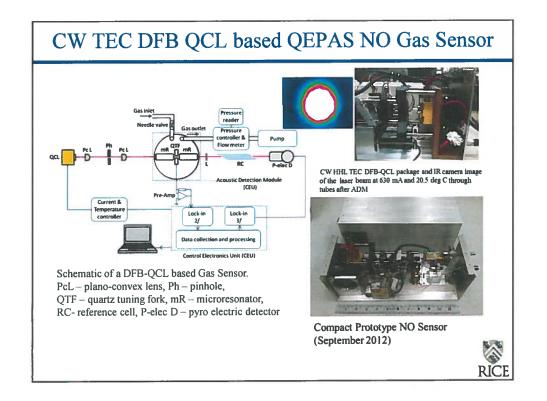
- NO in medicine and biology
 - Important signaling molecule in physiological processes in humans and mammals (1998 Nobel Prize in Physiology/Medicine)
 - Treatment of asthma, chronic obstructive pulmonary disease (COPD) & lung rejection
- Environmental pollutant gas monitoring
 - Ozone depletion
 - Precursor of smog and acid rain
 - NO_X monitoring from automobile exhaust and power plant emissions
- Atmospheric Chemistry



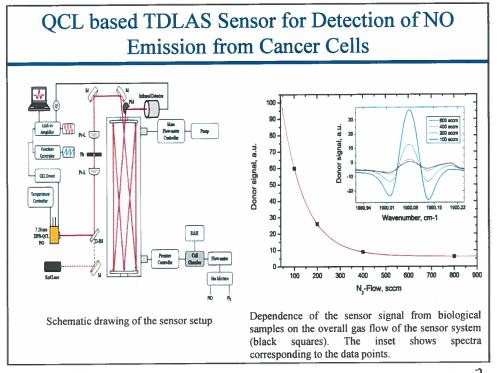








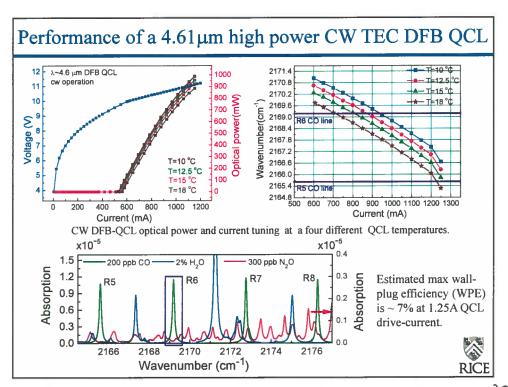
Performance of CW DFB-QCL based WMS QEPAS **NO Sensor Platform** -0.1% NO at P=200 Толг, 5 cm ref. cell 95 ppb NO reference cylinder MNO 1ppm NO + 2.4% H₂O, P= 240 Torr NO concentration [ppb] QEPAS 3f signal [a.u.] QEPAS 2f signal [a.u.] 2 -2 900 890 880 870 13:37 Laser current [mA] Time [HH:MM] 2f QEPAS signal (navy) and reference 3f signal (red) when 2f QEPAS signal amplitude for 95 ppb NO when DFB-QCL was tuned across 1900.08 cm-1 NO line. DFB-QCL was locked to the 1900.08 cm⁻¹ line. Minimum detectable NO concentration is: ~3 ppbv (1_{\sigma}; 1 s time resolution)

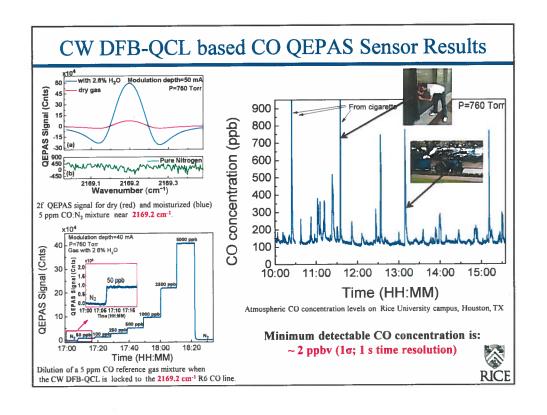


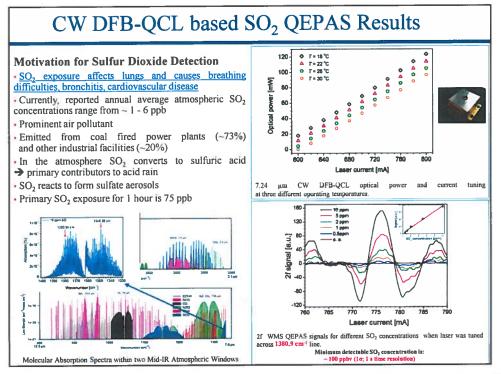
Motivation for Carbon Monoxide Detection

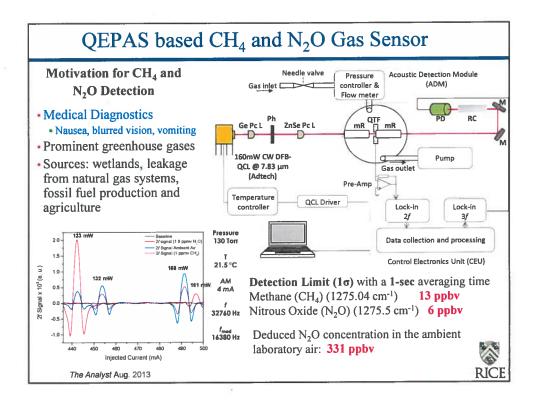
- CO in Medicine and Biology
 - Hypertension and abnormality in heme metabolism
- Public Health
 - Extremely dangerous to human life even at a low concentrations. Therefore CO must be carefully monitored at low concentration levels (<35 ppm).
- Atmospheric Chemistry
 - Incomplete combustion of natural gas, fossil fuel and other carbon containing fuels.
 - Impact on atmospheric chemistry through its reaction with hydroxyl (OH) for troposphere ozone formation and changing the level of greenhouse gases (e.g. CH₄).

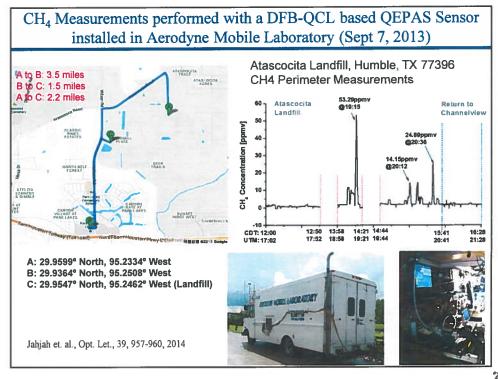












QCL based QEPAS Sensor for Hydrogen Peroxide (H₂O₂) Pressure controller & 3.0 x10⁻⁷ 100 ppb H₂O₂ 320 ppb N₂O 1.7 ppm CH₄ ZnSe 7.73 µm CW DFB-QCL 2.5 Absorption Coefficient (cm⁻¹) Pinhole QTF 80-100 mW 2.0 ADM 1.5 1.0 QCL Driver 2f Data collection 0.0 and processing 1294.2 1294.5 Wavenumber (cm⁻¹) CEU Simulated spectra (HITRAN) of H₂O₂ at 296 K Schematic of QCL based QEPAS sensor: and 130 Torr, along with atmospheric ADM - acoustic detection module; CEU - control interfering molecules of CH₄ and N₂O; two electronics unit; PC - personal computer. target wavelengths at 1294.1 and 1294.9 cm⁻¹ are shown. H₂O₂ Exposure limit is set at 1 ppmv by OSHA

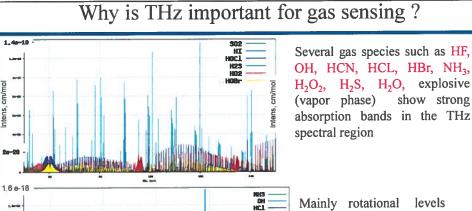
	Molecule (Host)	Frequency, cm ⁻¹	Pressure,	NNEA, cm ⁻¹ W/Hz ^k	Power, mW	NEC (T=1s), ppmv
vis {	O ₃ (air)	35087,70	700	3,0×10-4	0.8	1.27
	O2 (N2)	13099.30	158	4.74×10 ⁻⁷	1228	13
	C2H2 (N2)*	6523.88	720	4.1×10 ⁻⁹	57	0.03
	NH ₃ (N ₂)*	6528.76	575	3.1×10-9	60	0,06
11	C2H4 (N2)*	6177.07	715	5.4×10 ⁻⁹	15	1.7
NIR	CH4 (N2+1.2% H2O)*	6057.09	760	3.7×10 ⁻⁹	16	0.24
	N ₂ H ₄	6470.00	700	4.1×10 ⁻⁸	16	1
	H ₂ S (N ₂)*	6357.63	780	5.6×10*	45	5
	HCl (N2 dry)	5739.26	760	5,2×10-4	15	0.7
	CO2 (N2+1.5% H2O)	4991.26	50	1.4×10-8	4.4	18
>	CH ₂ O (N ₂ :75% RH)*	2804.90	75	8.7×10-9	7.2	0,12
	CO (N2 +2.2% H1O)	2176.28	100	1.4×10 ⁻⁷	71	0.002
1	CO (propylene)	2196.66	50	7.4×10 ⁻⁸	6.5	0.14
	N2O (air+5%SF6)	2195,63	50	1.5×10 ⁻⁸	19	0,007
	C ₂ H ₅ OH (N ₂)**	1934.2	770	2.2×10 ⁻⁷	10	90
d-IR ㅓ	NO (N2+H2O)	1900,07	250	7,5×10 ⁻⁹	100	0.003
	C ₂ HF ₅ (N ₂)***	1208.62	770	7.8×10 ⁻⁹	6.6	0,009
	NH ₃ (N ₂)*	1046.39	110	1.6×10 ⁻⁸	20	0.006
	SF4	948.62	75	2.7x10 ⁻¹⁰	18	5x10 ⁻³ (50 ppt)

Future Directions and Outlook

- New target analytes: formaldehyde (CH₂O), ethylene (C₂H₄), ozone (O₃), nitrate (NO₃),
- Ultra-compact, low cost, robust sensors (e.g. C₂H₆, NO, CO...)
- QCL based ultra-portable atmospheric carbon isotope monitor for ¹²CH₄ & ¹³CH₄
- Monitoring of broadband absorbers: acetone (C₃H₆O)-,
 MDL:1.5 ppm with a 7mW ICL & AM, or 20ppb with a 100mW QCL @ 8.23μm; benzene (C₆H₆)...
- Optical power build-up cavity designs (I-QEPAS)
- THz QEPAS based sensors
- Development of trace gas sensor networks



40

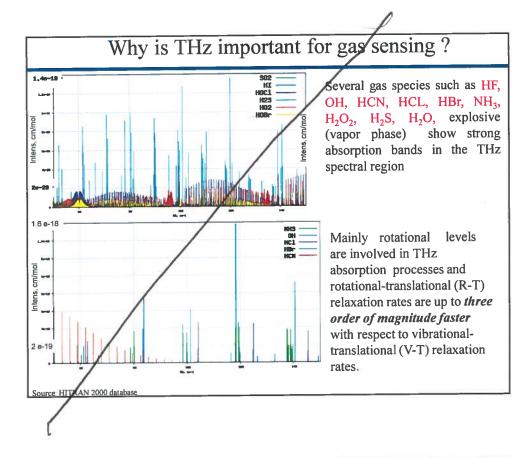


Mainly rotational levels are involved in THz absorption processes and rotational-translational (R-T) relaxation rates are up to *three order of magnitude faster* with respect to vibrational-translational (V-T) relaxation rates.

Source HITRAN 2000 database

Home

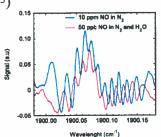
Xiv



Why QEPAS sensors have not been developed in the THz range to-date?

Standard QTFs and are characterized by a very small sensitive volume (~0.3×0.3×3 mm³)

In QEPAS experiments, it is critical to avoid laser illumination of the QTF, since the radiation blocked by the QTF prongs results in an undesirable non-zero background which is associated with a shifting fringe- like interference pattern.

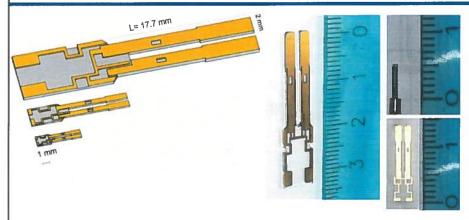




The narrow space (300 μ m) between the QTF prongs is comparable with the wavelength of THz sources, which has so far represented the main limitation preventing the use of QEPAS system in THz range.

Hence, larger sized QTFs are required for operating in the THz regime.

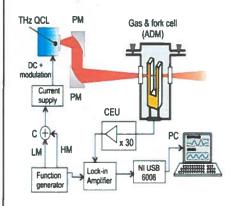
Custom QTFs can be scaled in dimension with respect to a standard 32.76 KHz QTF (7 and 3 times larger) for THz trace gas sensing



- Standard photolithographic techniques can be used to etch custom QTFs, starting from a zcut quartz wafer.
- Chromium/gold contacts can be deposited on both sides of a QTF.



THz QEPAS based Sensor for Methanol (CH₃OH) Detection



Schematic of THz QCL-based QEPAS sensor PM – paraboloidal mirror; C – power combiner; LM, HM – low-frequency modulation (triangular ramp), high-frequency modulation; CEU – control electronics unit; PC – personal computer.

7 ppm

7 ppm

1 10 100 lntegration time (s)

Allan deviation in ppm of the QEPAS signal as a function of the integration time. The initial growth from 0.1 to 1s reflects delays due to the signal sampling time (200 ms).

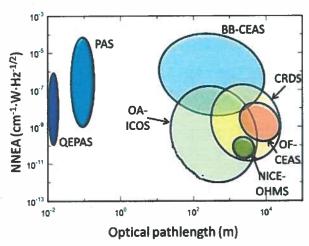
RICE

Spangolo et. al. Appl. Phys. Lett. 103, 021105 (2013)

44

1.

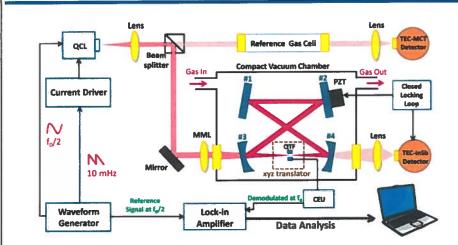
Performance of mid-infrared gas sensing techniques



NNEA for categories of gas detection techniques as a function of optical path-length. Key: BB-CEAS— broadband cavity-enhanced spectroscopy, CRDS—cavity ring-down spectroscopy, OA-ICOS—off-axis integrated cavity output spectroscopy, OF-CEAS—optical feedback cavity-enhanced absorption spectroscopy, NICE-OHMS—noise-immune cavity-enhanced optical heterodyne spectroscopy, PAS photoacoustic spectroscopy, QEPAS—Quartz-enhanced photoacoustic spectroscopy.

P. Patimisco, G. Scamarcio, F.K. Tittel & V. Spagnolo, "Quartz-enhanced photoacoustic spectroscopy: a review", Seasors, 14, 6165-6206, (2014)

Proposed Intracavity-QEPAS (I-QEPAS) Sensor System

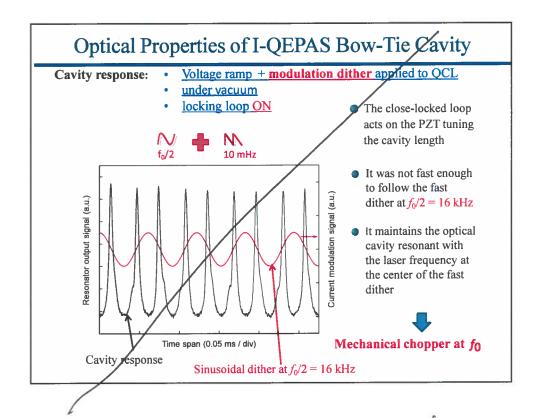


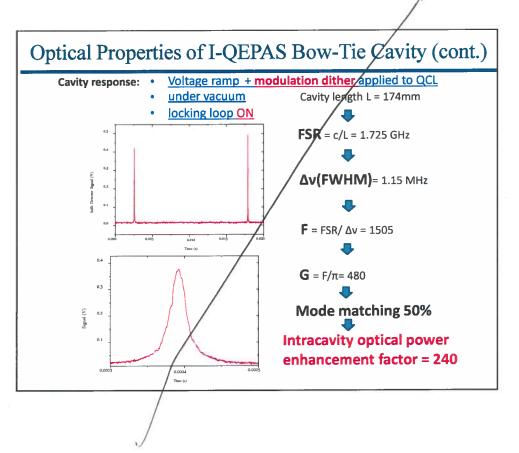
Optical power build up cavity can provide:

•RT CW DFB QCL, \=4.33 microns

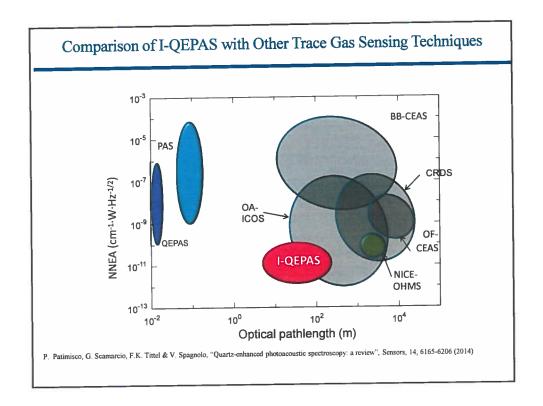
.

- *Low noise current driver → narrow QC laser linewidth ~1 MHz
- Bow-tie cavity →4 high reflectivity mirrors, R=99.9%
- *Electronic Control Loop + PZT motor lock to cavity resonant frequency to QCL frequency
- P. Patimisco, G. Scamarcio, F.K. Tittel & V. Spagnolo, "Quartz-enhanced photoacoustic spectroscopy: a review", Sensors, 14, 6165-6206 (2014)

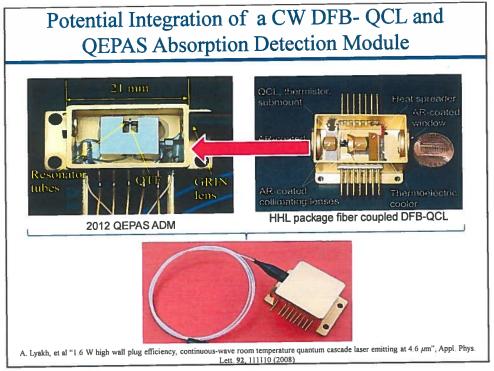




· . . - .



1.5.183



Summary and Conclusions

- Development of robust, compact, sensitive, selective mid-infrared trace gas sensor technology based on room temperature, continuous wave DFB laser diodes and high performance QCLs for medical diagnostics and environmental monitoring.
- Interband cascade and quantum cascade lasers were used in <u>TDLAS, PAS and QEPAS</u> based sensor platforms
- Six target trace gas species were detected with a 1 sec sampling time:
 - C₂H₆: ~3.36 μm, detection sensitivity of 740 pptv using TDLAS
 - NH₃: ~10.4 μm, detection sensitivity of ~1 ppbv (200 sec averaging time)
 - NO: ~5.26 μm, detection limit of 3 ppbv
 - CO: ~4.61 μm, minimum detection limit of 2 ppbv
 - SO₂: ~7.24 μm, detection limit of 100 ppbv
 - CH₄ and N₂O: ~7.28 μm, detection limits of 13 and 6 ppbv, respectively
 - H₂O₂: ~7.73 μm, detection limit of 75 ppb
 - C₂H₆O
- New target analytes: CH₂O, C₆H₆, and C₃H₈



Merits of QEPAS based Trace Gas Detection

- Very small sensing module and sample volume (a few mm³ to ~2cm²)
- Extremely low dissipative losses
- Optical detector is not required
- Wide dynamic range
- Frequency and spatial selectivity of acoustic signals
- Rugged transducer quartz monocrystal; can operate in a wide range of pressures and temperatures
- Immune to environmental acoustic noise, sensitivity is limited by the fundamental thermal TF noise: k_BT energy in the TF symmetric mode
- Absence of low-frequency noise: SNR scales as \sqrt{t} , up to t=3 hours as experimentally verified

QEPAS: some challenges

- Cost of Spectrophone assembly
- Sensitivity scales with laser power
- Effect of H₂O
- Responsivity depends on the speed of sound and molecular energy transfer processes
- Cross sensitivity issues



1. 1 -10